L Number	Hits	Search Text	DB	Time stamp
	2	("6397352").PN.	USPAT;	2003/09/10
			US-PGPUB;	13:59
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
•	125	(error\$4 or fault\$4 or fail\$4 or problem\$)	USPAT;	2003/09/10
		with (scrub\$4 or cleans\$4) with memory	US-PGPUB;	14:57
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
	1004373	monitor\$4	USPAT;	2003/09/10
		·	US-PGPUB;	14:03
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
	13576	memory adj bus\$4	USPAT;	2003/09/10
			US-PGPUB;	14:04
	<u> </u>		EPO; JPO;	
			DERWENT;	
			IBM_TDB	
•	12329	READ adj command	USPAT;	2003/09/10
			US-PGPUB;	14:05
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
•	o	((error\$4 or fault\$4 or fail\$4 or problem\$)	USPAT;	2003/09/10
		with (scrub\$4 or cleans\$4) with memory)	US-PGPUB:	14:05
		with monitor\$4 with (memory adj bus\$4)	EPO; JPO;	14.00
		with monitory with (monitory day basy-)	DERWENT;	
			IBM_TDB	
_	3	(((error\$4 or fault\$4 or fail\$4 or problem\$)	USPAT;	2003/09/10
		with (scrub\$4 or cleans\$4) with memory)	US-PGPUB;	14:05
		and monitor\$4 and (memory adj bus\$4)) and	EPO; JPO;	14.03
		(READ adj command)	DERWENT;	
		(NEAD au) Command)	IBM_TDB	
	25	((error\$4 or fault\$4 or fail\$4 or problem\$)	USPAT;	2003/09/10
		with (scrub\$4 or cleans\$4) with memory)	US-PGPUB;	14:16
		and monitor\$4 and (memory adj bus\$4)	EPO; JPO;	
		and moments and (memory adjuds\$4)	DERWENT;	
			IBM_TDB	
_	21138	detect\$4 with (error\$4 or fault\$4 or fail\$4 or	-	2003/09/10
-	21130	problem\$) with memory	USPAT; US-PGPUB;	14:50
		problems, with memory	1	14:50
			EPO; JPO;	
]		DERWENT;	
_	4-		IBM_TDB	2002/00/40
•	45	((error\$4 or fault\$4 or fail\$4 or problem\$)	USPAT;	2003/09/10
		with (scrub\$4 or cleans\$4) with memory)	US-PGPUB;	14:50
		with (detect\$4 with (error\$4 or fault\$4 or	EPO; JPO;	
		fail\$4 or problem\$) with memory)	DERWENT;	

-	3299	host adj controller	USPAT;	2003/09/10
		-	US-PGPUB;	14:51
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
-	7	(((error\$4 or fault\$4 or fail\$4 or problem\$)	USPAT;	2003/09/10
		with (scrub\$4 or cleans\$4) with memory)	US-PGPUB;	14:54
		with (detect\$4 with (error\$4 or fault\$4 or	EPO; JPO;	
		fail\$4 or problem\$) with memory)) and (host	DERWENT;	
		adj controller)	IBM_TDB	
-	60	((error\$4 or fault\$4 or fail\$4 or problem\$)	USPAT;	2003/09/10
		with (scrub\$4 or cleans\$4) with memory)	US-PGPUB;	14:54
		same (detect\$4 with (error\$4 or fault\$4 or	EPO; JPO;	
		fail\$4 or problem\$) with memory)	DERWENT;	
			IBM_TDB	
-	11	(((error\$4 or fault\$4 or fail\$4 or problem\$)	USPAT;	2003/09/10
		with (scrub\$4 or cleans\$4) with memory)	US-PGPUB;	14:55
		same (detect\$4 with (error\$4 or fault\$4 or	EPO; JPO;	
		fail\$4 or problem\$) with memory)) and	DERWENT;	
		(READ adj command)	IBM_TDB	
-	4	((((error\$4 or fault\$4 or fail\$4 or problem\$)	USPAT;	2003/09/10
		with (scrub\$4 or cleans\$4) with memory)	US-PGPUB;	14:55
		same (detect\$4 with (error\$4 or fault\$4 or	EPO; JPO;	
		fail\$4 or problem\$) with memory)) and	DERWENT;	
		(READ adj command)) not (((((error\$4 or	IBM_TDB	
		fault\$4 or fail\$4 or problem\$) with (scrub\$4		
		or cleans\$4) with memory) with (detect\$4		
		with (error\$4 or fault\$4 or fail\$4 or		
		problem\$) with memory)) and (host adj		
		controller)) or (((error\$4 or fault\$4 or fail\$4		
		or problem\$) with (scrub\$4 or cleans\$4)		
		with memory) and monitor\$4 and (memory		
		adj bus\$4)))		
•	13	(error\$4 or fault\$4 or fail\$4 or problem\$)	USPAT;	2003/09/10
		with cleans\$4 with memory	US-PGPUB;	14:58
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	

-	8	((error\$4 or fault\$4 or fail\$4 or problem\$) with cleans\$4 with memory) not ((((error\$4	USPAT; US-PGPUB;	2003/09/10 15:00
		or fault\$4 or fail\$4 or problem\$) with (scrub\$4 or cleans\$4) with memory) and	EPO; JPO; DERWENT;	
		monitor\$4 and (memory adj bus\$4)) or	IBM_TDB	
		((((error\$4 or fault\$4 or fail\$4 or problem\$)		
		with (scrub\$4 or cleans\$4) with memory)		
		with (detect\$4 with (error\$4 or fault\$4 or		
		fail\$4 or problem\$) with memory)) and (host		
		adj controller)) or ((((error\$4 or fault\$4 or fail\$4 or problem\$) with (scrub\$4 or		
	,	cleans\$4) with memory) same (detect\$4		
		with (error\$4 or fault\$4 or fail\$4 or		
		problem\$) with memory)) and (READ adj		
		command)) or (((((error\$4 or fault\$4 or fail\$4		
		or problem\$) with (scrub\$4 or cleans\$4)		
		with memory) same (detect\$4 with (error\$4		
		or fault\$4 or fail\$4 or problem\$) with		
		memory)) and (READ adj command)) not (((((error\$4 or fault\$4 or fault\$4 or problem\$)		
		with (scrub\$4 or cleans\$4) with memory)		
		with (detect\$4 with (error\$4 or fault\$4 or		
		fail\$4 or problem\$) with memory)) and (host		
		adj controller)) or (((error\$4 or fault\$4 or		
		fail\$4 or problem\$) with (scrub\$4 or		
		cleans\$4) with memory) and monitor\$4 and		
	3539	(memory adj bus\$4))))) (714/?).ccls.	USPAT;	2003/09/10
-	3535	(/ 14/r).ccis.	US-PGPUB:	15:00
			EPO; JPO;	15.55
			DERWENT;	
			IBM_TDB	
-	2234	(711/?).ccls.	USPAT;	2003/09/10
			US-PGPUB;	15:00
			EPO; JPO;	
			DERWENT;	
	1939	(365/?).ccls.	IBM_TDB USPAT;	2003/09/10
		(33/,33)	US-PGPUB;	15:00
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
-	7638	((714/?).ccls.) or ((711/?).ccls.) or	USPAT;	2003/09/10
		((365/?).ccls.)	US-PGPUB;	15:00
			EPO; JPO; DERWENT;	
			IBM_TDB	
-	30	(((714/?).ccis.) or ((711/?).ccis.) or	USPAT;	2003/09/10
		((365/?).ccls.)) and ((error\$4 or fault\$4 or	US-PGPUB;	15:00
		fail\$4 or problem\$) with (scrub\$4 or	EPO; JPO;	
		cleans\$4) with memory)	DERWENT;	
	J		IBM_TDB	

-	27	(((((714/?).ccls.) or ((711/?).ccls.) or	USPAT;	2003/09/10
		((365/?).ccls.)) and ((error\$4 or fault\$4 or	US-PGPUB;	15:01
		fail\$4 or problem\$) with (scrub\$4 or	EPO; JPO;	
		cleans\$4) with memory)) and (detect\$4 with	DERWENT;	
		(error\$4 or fault\$4 or fail\$4 or problem\$)	IBM_TDB	
		with memory)		

L Number	Hits	Search Text	DB	Time stamp
1	249	(error\$4 or fault\$4 or fail\$4 or problem\$)	USPAT;	2003/09/11
		same (cleans\$4 or scrub\$4) same memory	US-PGPUB;	10:54
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
2	28300	data adj word\$	USPAT;	2003/09/11
		-	US-PGPUB;	10:54
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
3	530425	detect\$4 same (error\$4 or fault\$4 or fail\$4	USPAT;	2003/09/11
		or problem\$)	US-PGPUB;	10:55
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
4	156925	monitor\$4 same (error\$4 or fault\$4 or fail\$4	USPAT;	2003/09/11
		or problem\$)	US-PGPUB;	10:56
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
5	25	((error\$4 or fault\$4 or fail\$4 or problem\$)	USPAT;	2003/09/11
		same (cleans\$4 or scrub\$4) same memory)	US-PGPUB;	11:06
		and (data adj word\$) and (detect\$4 same	EPO; JPO;	
		(error\$4 or fault\$4 or fail\$4 or problem\$))	DERWENT;	
		and (monitor\$4 same (error\$4 or fault\$4 or	IBM_TDB	
		fail\$4 or problem\$))	_	
6	1	dynamic\$4 adj schedul\$4 adj access	USPAT;	2003/09/11
			US-PGPUB;	11:08
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
7	18978	(READ or WRITE) adj command\$	USPAT;	2003/09/11
			US-PGPUB;	11:08
			EPO; JPO;	
			DERWENT;	
		·	IBM_TDB	
В	13	(((error\$4 or fault\$4 or fail\$4 or problem\$)	USPAT;	2003/09/11
		same (cleans\$4 or scrub\$4) same memory)	US-PGPUB;	11:09
		and (data adj word\$) and (detect\$4 same	EPO; JPO;	
		(error\$4 or fault\$4 or fail\$4 or problem\$))	DERWENT;	
		and (monitor\$4 same (error\$4 or fault\$4 or	IBM TDB	
		fail\$4 or problem\$))) and ((READ or WRITE) adj command\$)	_	·
9	4752	scrub\$4 and cleans\$4	USPAT;	2003/09/11
_	7,01	Jointy and Oldansy	US-PGPUB;	11:10
			EPO; JPO;	11110
			-	
			DERWENT;	
			IBM_TDB	

40	4405	comptA with electrical	HEBAT-	2003/09/11
10	1465	scrub\$4 with cleans\$4	USPAT; US-PGPUB;	11:10
			1	11:10
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	0000/00/44
11	1	(scrub\$4 with cleans\$4) and (data adj	USPAT;	2003/09/11
		word\$) and (detect\$4 same (error\$4 or	US-PGPUB;	11:11
		fault\$4 or fail\$4 or problem\$)) and	EPO; JPO;	
		(monitor\$4 same (error\$4 or fault\$4 or fail\$4	DERWENT;	
	_	or problem\$))	IBM_TDB	
12	1	(scrub\$4 with cleans\$4) and (data adj	USPAT;	2003/09/11
		word\$) and (detect\$4 same (error\$4 or	US-PGPUB;	11:11
		fault\$4 or fail\$4 or problem\$))	EPO; JPO;	
			DERWENT;	
			IBM_TDB	
13	1	(scrub\$4 with cleans\$4) and (data adj	USPAT;	2003/09/11
		word\$)	US-PGPUB;	11:11
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
14	2	(scrub\$4 and cleans\$4) and (data adj word\$)	USPAT;	2003/09/11
			US-PGPUB;	11:12
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
15	288	(scrub\$4 and cleans\$4) and memory	USPAT;	2003/09/11
			US-PGPUB;	11:12
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
16	2	((scrub\$4 and cleans\$4) and memory) and	USPAT;	2003/09/11
	1	(data adj word\$)	US-PGPUB;	11:12
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
17	3542	(714/?).ccls.	USPAT;	2003/09/11
	1		US-PGPUB;	11:12
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
18	2235	(711/?).ccls.	USPAT;	2003/09/11
			US-PGPUB;	11:12
			EPO; JPO;	
	1		DERWENT;	
			IBM_TDB	
19	1939	(365/?).ccls.	USPAT;	2003/09/11
			US-PGPUB;	11:13
			EPO; JPO;	
			DERWENT;	
	_		IBM_TDB	

20	7642	((714/?).ccls.) or ((711/?).ccls.) or	USPAT;	2003/09/11
-	1	((365/?).ccls.)	US-PGPUB;	11:13
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
21	4	(((714/?).ccls.) or ((711/?).ccls.) or	USPAT;	2003/09/11
~.		((365/?).ccls.)) and ((scrub\$4 and cleans\$4)	US-PGPUB;	11:13
		and memory)	EPO; JPO;	
			DERWENT;	
			IBM_TDB	
22	1		USPAT	2003/09/11
			33 1 A1	11:18
23	1		USPAT	2003/09/11
23	•		JOPAI	11:18
24	1		USPAT	2003/09/11
24	•		USPAI	11:18
25	1		USPAT	2003/09/11
25			USPAI	11:18
26	1		USPAT	2003/09/11
26	7		USPAI	11:19
27	1		USPAT	2003/09/11
27	7		USPAI	11:19
	1		USPAT	2003/09/11
28	1		USPAI	11:19
	_		HEDAT	
29	1		USPAT	2003/09/11
	_		LICDAT	11:19
30	1		USPAT	2003/09/11
24	_		LICDAT	11:19
31	1		USPAT	2003/09/11
				11:19
32	1		USPAT	2003/09/11
	_			11:21
33	1		USPAT	2003/09/11
				11:21
34	1		USPAT	2003/09/11
				11:22
35	1		USPAT	2003/09/11
30			HeneT	11:22
36	1	,	USPAT	2003/09/11
	_			11:22
37	1		USPAT	2003/09/11
				11:22
38	1		USPAT	2003/09/11
	_			11:22
39	1		USPAT	2003/09/11
				11:22
40	1		USPAT	2003/09/11
				11:23
41	1		USPAT	2003/09/11
				11:23

An alternative data integrity maintenance system is disclosed in U.S. Pat.

No. 5,210,860 which discloses an intelligent disk array controller. This

controller activates a data read subroutine during periods of no data read/write activity to sequentially read each memory location in the disk array

 $\underline{\mathtt{memory}}$. The read operation determines whether each $\underline{\mathtt{memory}}$ location can be

read, and any detected errors are corrected as they are identified. This

operation sequentially cycles through all $\underline{\text{memory}}$ locations on all disk drives

in the system. A problem with this system is that recently written memory

locations are not read until the system cycles through its predetermined

sequence of disk drives. In addition, if there is a significant amount of data

read/write activity, this single verification process can take a substantial

amount of time before verifying the data written on the disk drives. Furthermore, unused portions of $\underline{\text{memory}}$ are checked as part of the sequence,

such as spare drives. This process is therefore an improvement but suffers

from a number of performance impediments.

Brief Summary Text - BSTX (9):

The above described problems are solved and a technical advance achieved in

the field by the disk <u>scrubbing</u> system for a data storage subsystem. This

system avoids the data integrity problems of the prior art by periodically

verifying the integrity of the data stored on the disk drives of the data

storage subsystem. This is accomplished by one or more background processes

that cycle through predetermined segments of active $\underline{\mathsf{memory}}$ to verify the

integrity of the data stored therein. A priority $\underline{\textbf{scrubbing}}$ queue is also

available to note data storage locations that have recently had data written

thereon by the host processor and which require a more timely review of the

data than the data storage locations that have not had data written therein

since the last periodic data **scrubbing** operation.

Brief Summary Text - BSTX (10):

The disk drives in a disk drive array data storage subsystem are configured

into a plurality of variable size redundancy groups of N+M parallel connected

disk drives to store data thereon. The disk drive array data storage subsystem

dynamically maps between three abstract layers: virtual, logical and physical.

The virtual layer functions as a conventional large form factor disk drive

<u>memory</u>. The logical layer functions as an array of storage units that are

grouped into a plurality of redundancy groups, each containing N+M physical

disk drives. The physical layer functions as a plurality of individual small

form factor disk drives. A controller in the data storage subsystem operates

to effectuate the dynamic mapping of data among these abstract layers and to

control the allocation and management of the actual space on the physical

devices. These data storage management functions are performed in a manner $% \left(1\right) =\left(1\right) +\left(1\right)$

that renders the operation of the disk drive array data storage subsystem $% \left(\frac{1}{2}\right) =\frac{1}{2}\left(\frac{1}{2}\right) +\frac{1}{2}\left(\frac{1}{2}\right) +\frac$

transparent to the host processor which perceives only the virtual image of the data storage subsystem.

Brief Summary Text - BSTX (11):

When data is written to available **memory** space on a disk drive in a redundancy group, the physical tracks on which the data is stored are grouped

together into logical cylinders and are noted in the logical cylinder table as

containing newly-written data. A priority $\underline{\textbf{scrub}}$ routine sequences through all

newly written physical tracks in the logical cylinder to verify the integrity

of the data stored in the physical tracks by performing a data readback and

error check operation. The priority $\underline{\textbf{\textit{scrub}}}$ routine operates to perform a timely

read and verify after write operation to detect and correct errors created

during the data write process. A plurality of concurrently operational periodic disk <u>scrub</u> routines periodically sequences through all physical tracks

in the data storage subsystem which contain customer or redundancy data to

perform a data readback and error check operation on these physical tracks.

The active data stored in the data storage subsystem is thereby routinely

checked to ensure the integrity of this data.

Drawing Description Text - DRTX (6):

FIG. 5 illustrates the $\underline{\text{memory}}$ space of the data storage subsystem as viewed

by the disk scrub operations; Drawing Description Text - DRTX (9): FIG. 9 illustrates in flow diagram form the operation of the periodic disk scrubbing operation; Drawing Description Text - DRTX (10): FIG. 10 illustrates in flow diagram form the operation of the logical cylinder scrubbing operation; Drawing Description Text - DRTX (11): FIG. 11 illustrates in flow diagram form the operation of the periodic scrub rate adjustment operation; Drawing Description Text - DRTX (12): FIG. 12 illustrates in flow diagram form the operation of the track scrubbing operation; and Drawing Description Text - DRTX (13): FIG. 13 illustrates in flow diagram form the operation of the priority disk scrubbing operation. Detailed Description Text - DETX (2): The data storage subsystem of the present invention uses a plurality small form factor disk drives in place of a single large form factor to implement an inexpensive, high performance, high reliability disk memory that emulates the format and capability of large form factor disk drives. The plurality of disk drives in the disk drive array data subsystem are configured into a plurality of variable size redundancy N+M connected disk drives to store data thereon. Each redundancy group, also called a logical disk drive, is divided into a number of logical cylinders, each containing i logical tracks, one logical track for each of the i physical tracks contained in a cylinder of one physical disk drive. Each logical track is comprised of N+M physical tracks, one physical track from each disk drive in the redundancy group. The N+M disk drives are used to store N data one on each of N physical tracks per logical track, and to store M

redundancy

segments, one on each of ${\tt M}$ physical tracks per logical track in the redundancy

group. The N+M disk drives in a redundancy group have unsynchronized spindles

and loosely coupled actuators. The data is transferred to the disk drives via

independent reads and writes since all disk drives operate independently.

Furthermore, the M redundancy segments, for successive logical cylinders, are

distributed across all the disk drives in the redundancy group rather than

using dedicated redundancy disk drives.

Detailed Description Text - DETX (3):

The disk drive array data storage subsystem includes a controller that

dynamically maps between three abstract layers: virtual, logical and physical.

The virtual layer functions as a conventional large form factor disk drive

memory. The logical layer functions as an array of storage units that
are

grouped into a plurality of redundancy groups, each containing $\mathtt{N}+\mathtt{M}$ physical

disk drives. The physical layer functions as a plurality of individual small

form factor fixed block architecture (FBA) disk drives. The controller effectuates the dynamic mapping of data among these abstract layers and controls the allocation and management of the actual space on the physical

devices. These data storage management functions are performed in a manner

that renders the operation of the data storage subsystem transparent to the

host processor, which perceives only the virtual image of the data storage subsystem.

Detailed Description Text - DETX (4):

The performance of this system is enhanced by the use of a cache $\underline{\text{memory}}$ with

both volatile and non-volatile portions and "backend" data staging and destaging processes. Data received from the host processors is stored in the

cache **memory** in the form of modifications to data already stored in the redundancy groups of the data storage subsystem. No data stored in a redundancy group is modified. A virtual track is staged from a redundancy

group into cache. The host then modifies some, perhaps all, of the records on

the virtual track. Then, as determined by cache replacement algorithms, the

modified virtual track is selected to be destaged to a redundancy group. When

thus selected, a virtual track is divided (marked off) into several physical

sectors to be stored on one or more physical tracks of one or more logical

tracks. A complete physical track may contain physical sectors from one or

more virtual tracks. Each physical track is combined with N-1 other physical

tracks to form the N data segments of a logical track.

Detailed Description Text - DETX (5):

The original, unmodified data that is still stored in a redundancy group is

simply flagged as obsolete. Obviously, as data is modified, the redundancy

groups increasingly contain numerous virtual tracks of obsolete data. The

remaining valid virtual tracks in a logical cylinder are read to the cache

 $\underline{\text{memory}}$ in a background "free space collection" process. They are then written

to a previously emptied logical cylinder and the "collected" logical cylinder

is tagged as being empty. Thus, all redundancy data creation, writing and free

space collection occurs in background, rather than as on-demand processes.

This arrangement avoids the parity update problem of existing disk drive array

systems and improves the response time versus access rate performance of the

data storage subsystem by transferring these overhead tasks to background processes.

Detailed Description Text - DETX (9):

Control unit 101 includes two cluster controls 111, 112 for redundancy

purposes. Within a cluster control 111 the multipath storage director 110-0

provides a hardware interface to interconnect data channels 21, 31 to cluster

control 111 contained in control unit 101. In this respect, the $\operatorname{multipath}$

storage director 110-0 provides a hardware interface to the associated data

channels 21, 31 and provides a multiplex function to enable any attached data

channel (for example 21) from any host processor (for example 11) to interconnect to a selected cluster control 111 within control unit 101.

cluster control 111 itself provides a pair of storage paths 200-0, 200-1 which

function as an interface to a plurality of optical fiber backend channels 104.

In addition, the cluster control 111 includes a data compression

function as

well as a data routing function that enables cluster control 111 to direct the

transfer of data between a selected data channel 21 and cache memory
113, and

between cache <u>memory</u> 113 and one of the connected optical fiber backend channels 104. Control unit 101 provides the major data storage subsystem

control functions that include the creation and regulation of data redundancy

groups, reconstruction of data for a failed disk drive, switching a spare disk

drive in place of a failed disk drive, data redundancy generation, logical

device space management, and virtual to logical device mapping.

Detailed Description Text - DETX (12):

FIG. 2 illustrates in block diagram form additional details of cluster

control 111. Multipath storage director 110 includes a plurality of channel

interface units 201-0 to 201-7, each of which terminates a corresponding pair

of data channels 21, 31. The control and data signals received by the corresponding channel interface unit 201-0 are output on either of the corresponding control and data buses 206-C, 206-D, or 207-C, 207-D, respectively, to either storage path 200-0 or storage path 200-1. Thus, as can

be seen from the structure of the cluster control 111 illustrated in FIG. 2,

there is a significant amount of symmetry contained therein. Storage path

200-0 is identical to storage path 200-1 and only one of these is described

herein. The multipath storage director 110 uses two sets of data and control

busses 206-D, C and 207-D, C to interconnect each channel interface unit 201-0 $\,$

to 201-7 with both storage path 200-0 and 200-1 so that the corresponding data

channel 21 from the associated host processor 11 can be switched via either

storage path 200-0 or 200-1 to the plurality of optical fiber backend channels

104. Within storage path 200-0 is contained a processor 204-0 that regulates

the operation of storage path 200-0. In addition, an optical device interface

205-0 is provided to convert between the optical fiber signalling format of

optical fiber backend channels 104 and the metallic conductors contained within

storage path 200-0. Channel interface control 202-0 operates under control of

processor 204-0 to control the flow of data to and from cache $\underline{\text{memory}}$ 113 and

one of the channel interface units 201 that is presently active with

storage

path 200-0. The channel interface control 202-0 includes a cyclic redundancy

check (CRC) generator/checker to generate and check the CRC bytes for the

received data. The channel interface circuit 202-0 also includes a buffer that

compensates for speed mismatch between the data transmission rate of the data

channel 21 and the available data transfer capability of the cache memory 113.

The data that is received by the channel interface control circuit 202-0 from a

corresponding channel interface circuit 201 is forwarded to the cache **memory**

113 via channel data compression circuit 203-0. The channel data compression

circuit 203-0 provides the necessary hardware and microcode to perform compression of the channel data for the control unit 101 on a data write from

the host processor 11. It also performs the necessary decompression operation

for control unit 101 on a data read operation by the host processor 11.

Detailed Description Text - DETX (13):

As can be seen from the architecture illustrated in FIG. 2, all data transfers between a host processor 11 and a redundancy group in the disk drive

subsets 103 are routed through cache $\underline{\text{memory}}$ 113. Control of cache $\underline{\text{memory}}$ 113

is provided in control unit 101 by processor 204-0. The functions provided by

processor 204-0 include initialization of the cache directory and other cache

data structures, cache directory searching and management, cache space management, cache performance improvement algorithms as well as other cache

control functions. In addition, processor 204-0 creates the redundancy groups

from the disk drives in disk drive subsets 103 and maintains records of the

status of those devices. Processor 204-0 also causes the redundancy data

across the N data disks in a redundancy group to be generated within cache

 ${\color{red} \underline{\textbf{memory}}}$ 113 and writes the M segments of redundancy data onto the M redundancy

disks in the redundancy group. The functional software in processor 204-0 also

manages the mappings from virtual to logical and from logical to physical

devices. The tables that describe this mapping are updated, maintained, backed

up and occasionally recovered by this functional software on processor 204-0.

The free space collection function is also performed by processor 204-0 as well

as management and scheduling of the optical fiber backend channels 104. Many

of these above functions are well known in the data processing art and are not

described in any detail herein.

Detailed Description Text - DETX (17):

With respect to data transfer operations, all data transfers go through

cache <u>memory</u> 113. Therefore, front end or channel transfer operations are

completely independent of backend or device transfer operations. In this

system, staging operations are similar to staging in other cached disk subsystems but destaging transfers are collected into groups for bulk transfers. In addition, this data storage subsystem 100 simultaneously performs free space collection, mapping table backup, and error recovery as

background processes. Because of the complete front end/backend separation,

the data storage subsystem 100 is liberated from the exacting processor timing

dependencies of previous count key data disk subsystems. The subsystem is free

to dedicate its processing resources to increasing performance through $\ensuremath{\mathsf{more}}$

intelligent scheduling and data transfer control.

Detailed Description Text - DETX (18):

When the host processor 11 transmits data over the data channel 21

data storage subsystem 100, the data is transmitted in the form of the individual records of a virtual track. In order to render the operation of the

disk drive array data storage subsystem 100 transparent to the host processor

11, the received data is stored on the actual physical disk drives (122-1 to

122-n+m) in the form of virtual track instances which reflect the capacity of a

track on the large form factor disk drive that is emulated by data storage

subsystem 100. Although a virtual track instance may spill over from one

physical track to the next physical track, a virtual track instance is not

permitted to spill over from one logical cylinder to another. This is done in

order to simplify the management of the memory space.

Detailed Description Text - DETX (21):

It is necessary to accurately record the location of all data within the

disk drive array data storage subsystem 100 since the data received from the

host processors 11-12 is mapped from its address in the virtual space to a physical location in the subsystem in a dynamic fashion. A virtual track directory is maintained to recall the location of the present instance of each virtual track in disk drive array data storage subsystem 100. Changes to the virtual track directory are journaled to a non-volatile store and are backed up with fuzzy image copies to safeguard the mapping data. The virtual track directory 4 consists of an entry 400 (FIG. 4) for each virtual track which the associated host processor 11 can address. The virtual track directory entry 400 also contains data 407 indicative of the length of the virtual track instance in sectors. The virtual track directory 4 is stored in noncontiquous pieces of the cache memory 113 and is addressed indirectly through pointers in a virtual device table. The virtual track directory 4 is updated whenever a new virtual track instance is written to the disk drives.

Detailed Description Text - DETX (22):

The storage control also includes a free space directory 800 (FIG. 8) which

is a list of all of the logical cylinders in the disk drive array data storage

subsystem 100 ordered by logical device. Each logical device is cataloged in a

list called a free space list 801 for the logical device; each list entry

represents a logical cylinder and indicates the amount of free space that this

logical cylinder presently contains. This free space directory contains a

positional entry for each logical cylinder; each entry includes both forward

802 and backward 803 pointers for the doubly linked free space list 801 for its

logical device and the number of free sectors contained in the logical cylinder. Each of these pointers 802, 803 points either to another entry in

the free space list 801 for its logical device or is null. In addition to the

pointers and free sector count, the free space directory also contains entries

that do not relate to free space, but relate to the logical cylinder. There is

a flag byte known as the logical cylinder table (LCT) which contains, among

other flags, a C flag and some T flags. The C flag indicates that the logical

cylinder has been written to and requires priority scrubbing. The T

flags indicate states of the logical cylinder when the logical cylinder should not be scrubbed, such as logical cylinder is being written, logical cylinder is being free space collected, and logical cylinder is being reconstructed. The collection of free space is a background process that is implemented in the disk drive array data storage subsystem 100. The free space collection process makes use of the logical cylinder directory, which is a list contained in the last few sectors of each logical cylinder indicative of the contents of that logical cylinder. The logical cylinder directory contains an entry for each virtual track instance contained within the logical cylinder. The entry for each virtual track instance contains the identifier of the virtual track instance and the identifier of the relative sector within the logical cylinder in which the virtual track instance begins. From this directory and the virtual track directory, the free space collection process can determine which virtual track instances are still current in this logical cylinder and therefore need to be moved to another location to make the logical cylinder available for writing new data.

Detailed Description Text - DETX (24):

FIG. 6 illustrates in flow diagram form the operational steps taken by

processor 204 in control unit 101 of the data storage subsystem 100 to read

data from a data redundancy group 122-1 to 122-n+m in the disk drive subsets

103. The disk drive array data storage subsystem 100 supports reads of any

size. However, the logical layer only supports reads of virtual track instances. In order to perform a read operation, the virtual track instance

that contains the data to be read is staged from the logical layer into the

cache $\underline{\text{memory}}$ 113. The data record is then transferred from the cache $\underline{\text{memory}}$

113 and any clean up is performed to complete the read operation.

Detailed Description Text - DETX (25):

At step 601, the control unit 101 prepares to read a record from a virtual $\,$

track. At step 602, the control unit 101 branches to the cache directory

search subroutine to assure that the virtual track is located in the cache

memory 113 since the virtual track may already have been staged into
the cache

memory 113 and stored therein in addition to having a copy stored on
the

plurality of disk drives (122-1 to 122-n+m) that constitute the redundancy

group in which the virtual track is stored. At step 603, the control unit 101

scans the hash table directory of the cache $\underline{{\tt memory}}$ 113 to determine whether the

requested virtual track is located in the cache $\underline{\text{memory}}$ 113. If it is, at step

604 control returns back to the main read operation routine and the cache

staging subroutine that constitutes steps 605-616 is terminated.

Detailed Description Text - DETX (26):

Assume, for the purpose of this description, that the virtual track that has

been requested is not located in the cache $\underline{\text{memory}}$ 113. Processing proceeds to

step 605 where the control unit 101 looks up the address of the virtual track

in the virtual to logical map table. At step 606, the logical map location is

used to map the logical device to one or more physical devices in the redundancy group. At step 607, the control unit 101 schedules one or more

physical read operations to retrieve the virtual track instance from appropriate ones of identified physical devices 122-1 to 122-n+m. At step 608,

the control unit 101 clears errors for these operations. At step 609, a

determination is made whether all the reads have been completed, since the

requested virtual track instance may be stored on more than one of the $N+M\ \mbox{disk}$

drives in a redundancy group. If all of the reads have not been completed,

processing proceeds to step 614 where the control unit 101 waits for the next

completion of a read operation by one of the N+M disk drives in the redundancy

group. At step 615 the next reading disk drive has completed its operation and $% \left(1\right) =\left(1\right) +\left(1\right) +\left($

a determination is made whether there are any errors in the read operation that

has just been completed. If there are errors, at step 616 the errors are

marked and control proceeds back to the beginning of step 609 where a determination is made whether all the reads have been completed. If at this

point all the reads have been completed and all portions of the virtual track

instance have been retrieved from the redundancy group, then processing proceeds to step 610 where a determination is made whether there are any errors